

## **Respiratory system**

### **I. Introduction**

A. Respiration -- the sum of processes that accomplish passive movement of O<sub>2</sub> from atmosphere to tissues to support metabolism, as well as passive movement of CO<sub>2</sub> from tissues to the atmosphere

- internal respiration: occurs in mitochondria; use of cellular fuels (glucose, fatty acids) to produce ATP; O<sub>2</sub> is final electron acceptor and CO<sub>2</sub> produced as a metabolic waste product.

- external respiration: oxygen from the environment taken up and delivered to individual cells; carbon dioxide produced during cell metabolism excreted into environment

### **B. External and internal respiration**

#### **1. External respiration**

- ventilation
- exchange of gases between air in alveoli and blood
- transport of gases
- exchange of gases between the blood and the tissues

#### **2. Internal respiration.**

### **C. Functional anatomy.**

- nasal cavity, pharynx, larynx, trachea, bronchi, bronchioles, terminal bronchioles, respiratory bronchioles, alveolar ducts, alveolar sacs, alveoli.

#### **1. two functional zones:**

- conducting zone: includes passageways which serve as conduits for air to reach site of gas exchange; cleanse, humidify, warm incoming air.

- respiratory zone: actual site of gas exchange.

#### **2. respiratory tract**

a. nasal cavity --> larynx.

b. Trachea: from larynx to mediastinum.

c. Bronchi and their subdivisions: the bronchial tree.

- the trachea gives rise to the right and left primary bronchi which enter the lungs.
- once in the lungs the bronchi continue to divide (there are 23 orders); air passages under 1 mm diameter called bronchioles.
- as conducting tubes become smaller, structural changes occur:
  - cartilage supports change: go from cartilage rings to plates; eventually disappear; no cartilage at bronchioles, elastic fibers in tube wall remain.
  - type of epithelium changes: pseudostratified columnar to simple columnar to cuboidal.
  - amount of smooth muscle increases.
- the terminal bronchioles mark the end of conducting zone.
- the respiratory zone begins at respiratory bronchioles: have occasional alveoli lining their walls; lead to alveolar ducts.
- alveolar ducts: walls almost entirely lined by alveoli; lead to clusters interconnected alveoli, alveolar sacs.

#### d. Alveoli (location of respiratory membrane).

- extensive network of capillaries are associated with each alveolus; capillaries are surrounded by a network of elastin fibers.
- alveolar epithelium: simple squamous (type 1 cells); also macrophages and surfactant cells (type II cells).
  - alveolar epithelium and capillary endothelial cells share a common BM.

#### e. Lungs and pleura

- pleura - a double layered serosa; parietal pleura, visceral pleura, pleural cavity that has pleural fluid.

### II. Pulmonary ventilation.

- breathing/pulmonary ventilation: movement of air in and out of respiratory tract.

#### A. Basic properties of gases

- gases are compressible/expandable.
- the pressure exerted by a gas is inversely proportional to the volume it occupies.

#### B. Respiratory pressures (always expressed relative to atmospheric pressure, 760 mm Hg).

1. Intrapulmonary pressure: pressure within the alveoli, always driven to equalize itself to atmospheric pressure.

2. Intrapleural pressure; pressure within the pleural cavity.

- the parietal and visceral pleurae are separated by a thin film of pleural fluid; they are held together by surface tension of pleural fluid -- polar molecules in intrapleural fluid resist being pulled apart because of their attraction to each other; since parietal pleura is attached to the thoracic cavity and visceral pleura to lungs, this interaction holds lungs to thoracic wall.

- however elasticity of chest wall expands thorax outward; elasticity of alveoli pulls lungs inward; alveolar surface tension pulls alveoli inward

- as a result of the two sets of opposing forces "tugging" at the pleurae, a negative pressure is established in the intrapleural space (average -4 mm Hg, changes through insp/exp cycles).

C. Forces holding lungs and thoracic wall in close apposition

- surface tension of pleural fluid
- transmural pressure gradient
  - example of pneumothorax

D. Breathing movements.

1. Muscles/pressure changes: actions of respiratory muscles causes volume changes in the pulmonary cavity that causes pressure changes -- drive air movements in/out of lungs; air always flows from a region of high to low pressure in an attempt to create a pressure equalization.

a. Inspiration: diaphragm contracts and external intercostal contract; decreases intrapulmonary pressure, equalizes as air moves in; decrease in intrapleural pressure.

b. Expiration: diaphragm relaxes and external intercostal relax; increases intrapulmonary pressure, equalizes as air moves out.

2. Types of breathing.

a. quiet breathing: inspiration only involves diaphragm and external intercostal contractions; expiration is passive (relaxation of above muscles).

b. Forced breathing: both inspiration and expiration are forced; that is, additional accessory muscles are recruit into inspiration; contraction of a number of other muscles (internal intercostals, abdominal) also involved in bringing about expiration.

## E. Resistance to breathing

- $F = P/R$

### 1. Primary determinant of resistance to airflow is radius of conducting airways

- occurs mostly in medium sized bronchi
- usually not an issue in healthy individual -- very small pressure gradients required to achieve adequate rates of airflow
- factors affecting bronchi diameter and therefore airway resistance:
  - ANS effects: sympathetic effects produce bronchodilation; parasympathetic innervation (relaxed situations) produces bronchoconstriction
  - local effects such as histamine release in allergic reaction (bronchoconstriction)

### 2. Chronic obstructive pulmonary disease (COPD)

#### a. chronic bronchitis

- long-term inflammatory condition -- triggered by irritant
- local accumulation of mucus
- pulmonary bacterial infections

#### b. asthma

- thickening of airway walls -- inflammation, histamine-induced edema
- plugging of airways -- excess mucus
- airway hyperresponsiveness -- SM spasms
- causes: allergens, irritants

#### c. emphysema

- increased trypsin secretion from macrophages
- destruction, collapse of small airways

### 3. Compliance: an indication of degree of expandability of lungs; any factor that decreases compliance (increase CT deposition in alveolar walls, decrease in surfactant levels) will enhance resistance to breathing

- the lower the compliance of the lungs, the larger the transmural pressure gradient that must be created during inspiration to produce normal lung expansion

- a greater than normal transmural pressure gradient during inspiration only achieved by making intrapleural pressure more subatmospheric than usual --> need greater expansion of thorax --> more vigorous contraction of respiratory muscle --> more work

#### 4. alveolar surface tension

- in thin fluid film coating alveoli, water molecules have a greater attraction for each other than for the gas molecules they interface with
- this creates a form of tension (alveolar surface tension) that resists any increases in surface area and hence creates resistance to inspiratory movements that occur as part of breathing
- surfactant minimizes alveolar surface tension

F. Lung volumes: refer to amounts of air flushed in/out of lungs (ml).

#### 1. Respiratory volumes:

- a. Tidal volume (TV, 500 ml): the amount of air inhaled or exhaled with each breath under resting conditions.
- b. Inspiratory reserve volume (IRV, 3100 ml): amount of air that can be inhaled beyond a tidal volume inhalation
- c. Expiratory reserve volume (ERV, 1200 ml): amount of air that can be exhaled beyond a tidal volume exhalation.
- d. Residual volume (RV, 1200 ml): the amount of air that is left in the lungs after a forced exhalation; provides air to alveoli even between breaths.

#### 2. Respiratory capacities: sum of volumes.

- a. Inspiratory capacity ( $IC = RV + IRV$ , 3600 ml): maximum volume of air a person is able to inspire after tidal volume expiration.
- b. Functional residual capacity ( $FRC = ERV + RV$ , 2400 ml): the volume of air left in the lungs after the normal tidal expiration.
- c. Vital capacity ( $VC = IRV + TV + ERV$ , 4800 ml): maximum volume of air that can be expired after a maximum inspiratory effort; measure of total amount of exchangeable air.
- d. Total lung capacity ( $TLC = IRV + TV + ERV + RV$ ): volume of air contained in the lungs after a maximum inspiratory effort.

3. Dead space (VD): volume of conducting zone airways where air does not participate in gas exchange; about 150 ml

F. Ventilation measurements: measurements of rates of gas movements in and out of respiratory tract.

1. Minute ventilation (Vm): total amount of air moved in and out of respiratory tract in one minute.

-  $V_m = \text{respiratory rate (f)} \times TV$ .

2. Alveolar ventilation (VA): amount of air reaching alveoli in one minute; an adjustment of Vm for anatomical dead space; can change independently of minute volume;  $VA = f \times (VT - VD)$

- changes in TV will affect alveolar ventilation more drastically than respiratory rate changes, since anatomical dead space is always a constant for a particular individual.

### III. Gas exchange and transport.

#### A. Properties of gases.

1. Dalton's law of partial pressures: the total pressure exerted by a mixture of gases is the sum of the pressures exerted by each individual gas in the mixture; the pressure exerted by each gas (partial pressure) is directly proportional to its percentage in the total gas mixture.

- note the differences in composition of atmospheric air and alveolar air:

a. atmospheric air:  $P_{N_2}=597 \text{ mm Hg}$ ;  $P_{O_2}=159 \text{ mm Hg}$ ;  $P_{CO_2} = 0.3 \text{ mm Hg}$ ;  $P_{H_2O}=3.7 \text{ mm Hg}$ .

b. alveolar air:  $P_{N_2}=569 \text{ mm Hg}$ ;  $P_{O_2}=104 \text{ mm Hg}$ ;  $P_{CO_2} = 40 \text{ mm Hg}$ ;  $P_{H_2O}=47 \text{ mm Hg}$ .

2. Henry's law: when a mixture of gases is in contact with a liquid, each gas will dissolve in the liquid in proportion to its partial pressure.. The exact volume of a gas that will dissolve in a liquid at any given partial pressure depends on the solubility of the gas in liquid.

## B. Gas exchange.

1. External respiration: gas exchanges occurring between blood and alveolar air, governed by partial pressure gradients and gas solubilities.

	<b>ALVEOLI</b>	direction of diffusion	<b>ENTERING BLOOD</b>	<b>LEAVING BLOOD</b>
P <sub>O2</sub>	104 mm Hg	----->	40 mm Hg	104 mm Hg
P <sub>CO2</sub>	40 mm Hg	<-----	45 mm Hg	40 mmHg

- other factors that influencing the movement of gases across respiratory membrane are the thickness of the respiratory membrane and surface area available for gas exchanges.

- note that partial pressure gradients for oxygen diffusion are much greater than those for carbon dioxide, however approximately equal amounts of these gases are exchanged due to solubility differences.

- summary: partial pressure gradients for the oxygen, carbon dioxide are key to gas exchanges; oxygen flows downhill from air --> alveoli --> tissue; carbon dioxide flows downhill from tissue --> air.

2. Internal Respiration: gas exchanges between blood and tissues.

	<b>BLOOD ENTERING TISSUES</b>	direction of diffusion	<b>TISSUES</b>	<b>BLOOD LEAVING TISSUES</b>
P <sub>O2</sub>	104 mm Hg	----->	< 40 mm Hg	40 mm Hg
P <sub>CO2</sub>	40 mm Hg	<-----	> 45 mm Hg	45 mmHg

- note that partial pressure gradients for oxygen diffusion are much greater than those for carbon dioxide, however approximately equal amounts of these gases are exchanged due to solubility differences.

- summary: partial pressure gradients for the oxygen, carbon dioxide are key to gas exchanges; oxygen flows downhill from air --> alveoli --> tissue; carbon dioxide flows downhill from tissue --> air.

- however, the amount of both these gases transported to and from tissue would be grossly inadequate if 98.5% of dissolved oxygen didn't combine with hemoglobin (Hb) and 94.5% of dissolved carbon dioxide didn't enter a complex series of reactions in preparation for transport.

- without hemoglobin/carbon dioxide reactions the same  $P_{O_2}$  and  $P_{CO_2}$  would be achieved in blood, but blood would have a much lower oxygen/carbon dioxide carrying capacity.

### C. Gas transport in the blood.

#### 1. Oxygen transport.

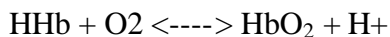
-  $O_2$  carried in two ways, dissolved in plasma (1.5%) and bound to Hb (98.5%).

##### a. Association/dissociation of oxygen and hemoglobin.

(i) one hemoglobin molecule binds four molecules of  $O_2$  (review structure).

(ii) reduced or deoxygenated Hb - HHb; oxyhemoglobin ( $HbO_2$ ).

(iii) loading/unloading of  $O_2$ :



- there is cooperation of four polypeptides of Hb molecule in binding and unbinding  $O_2$ ; that is affinity of Hb for  $O_2$  changes with the state of saturation of Hb: the greater the saturation of Hb, the greater the affinity for Hb.

##### b. Factors influencing the rate at which hemoglobin binds/releases oxygen.

(i) The influence of  $P_{O_2}$  on Hb saturation: the oxygen/hemoglobin dissociation curve.

- resting conditions  $P_{O_2}$  104 mm Hg: the arterial blood is 98% saturated; 100 ml of systemic blood contains 20 ml  $O_2$  ( $O_2$  content is 20 vol%).

- as arterial blood flows through systemic caps:  $P_{O_2}$  about 40 mm Hg, 5 ml  $O_2$ /100 ml blood released, yielding a 75% Hb saturation and  $O_2$  content of 15 vol% in venous blood.

(ii) Important features of oxygen/hemoglobin dissociation curve.

- Hb almost completely saturated at  $P_{O_2}$  70 mm Hg, further increases of  $P_{O_2}$  cause only very small change in oxygen binding; therefore adequate oxygen loading and delivery are possible in conditions where partial pressure of oxygen of inspired air is well below the usual level.

- majority of oxygen unloading occurs in steep portions of the curve, where  $P_{O_2}$  changes very little; since only 20-25% of bound oxygen unloads during one systemic circuit, there are still large amounts of oxygen available in venous blood (venous reserve); therefore if  $P_{O_2}$  drops in tissues (as during exercise) more oxygen can dissociate from hemoglobin and be delivered to the tissues.



(iii) Influences of  $P_{CO_2}$ , pH, BPG on Hb saturation.

- a number of factors listed above influence Hb saturation by modifying Hb 3D structure and thus its ability to bind  $O_2$ .

- increased temperature,  $P_{CO_2}$ , BPG, and decreased pH will shift the dissociation curve to the right; this means that at a given  $P_{O_2}$ , the percent of hemoglobin saturation with  $O_2$  decreases dramatically, more oxygen is delivered; a shift of the curve to the left (less  $O_2$  delivered at a given  $P_{CO_2}$ ) occurs if  $P_{CO_2}$  and temperature decrease and pH increases.

## 2. Carbon dioxide transport.

- occurs in three ways: dissolved in plasma, chemically bound to RBC Hb, as bicarbonate in plasma.

a. Dissolved in plasma: 7-10% of transported  $CO_2$ .

- however, most  $CO_2$  molecules that dissolve in plasma enter the RBC and participate in a number of chemical reactions that prepare  $CO_2$  for transport.

b. Chemically bound to hemoglobin in RBC.

- $CO_2 + Hb \rightleftharpoons HbCO_2$  quick, uncatalyzed reaction.

- reaction is influenced by  $PCO_2$  and the degree of hemoglobin oxygenation; increased  $PCO_2$ , increased binding; decreased  $PCO_2$ , decreased binding; HHb binds  $CO_2$  better than Hb.

c. Transported by bicarbonate in plasma.

- dissolved  $CO_2$  enters RBC:

- $CO_2 + H_2O \xrightleftharpoons{CA} H_2CO_3 \rightleftharpoons HCO_3^- + H^+$  (CA: carbonic anhydrase)

(i) Tissues:

- hydrogen ions released cause a shift in the oxygen-hemoglobin dissociation curve to the right (Bohr effect).

- Hb binds up  $H^+$ ,  $Hb + H^+ \rightleftharpoons HHb$  (buffering of  $H^+$ ); HHb in turn has increased  $CO_2$  binding capacity.

- $HCO_3^-$  enters plasma, transported in this way (ionic balance maintained by Cl shift).

(ii) Lungs:

-  $\text{HCO}_3^-$  reenters RBC; Cl shift.

-  $\text{HCO}_3^-$  combines with  $\text{H}^+$  made available by  $\text{HHb} + \text{O}_2 \rightarrow \text{HbO}_2 + \text{H}^+$ ;  $\text{H}_2\text{CO}_3$  produced, which dissociates into  $\text{CO}_2$  and  $\text{H}_2\text{O}$ , catalyzed by CA;  $\text{CO}_2$  removed from lungs by ventilation.

(iii) Amount of  $\text{CO}_2$  transported in the blood is directly affected by oxygenation of the blood (Haldane effect):

- in tissues as  $\text{CO}_2$  moves into systemic blood and participates in CA reaction, due to Bohr effect (generation of  $\text{H}^+$ ) more  $\text{O}_2$  dissociates from Hg, i.e., oxygenation of blood decreases; deoxyhemoglobin can bind  $\text{CO}_2$  more efficiently, so decreased Hb oxygenation increases  $\text{CO}_2$  transport; furthermore, once  $\text{O}_2$  dissociates from Hb, the latter binds up  $\text{H}^+$  to form HHb (the CA reaction is pushed to the left), causing more  $\text{CO}_2$  to be "converted to  $\text{HCO}_3^-$ "

(iv) Alkaline reserve.

-  $\text{HCO}_3^-$  ions are produced due to  $\text{CO}_2$  transported in the plasma and act as an alkaline reserve.

-  $\text{CO}_2 + \text{H}_2\text{O} \xrightleftharpoons{\text{CA}} \text{H}_2\text{CO}_3 \rightleftharpoons \text{HCO}_3^- + \text{H}^+$  (CA: carbonic anhydrase)

- thus changes in  $\text{H}^+$  ion concentration can have dramatic effects on  $\text{CO}_2$  levels and ventilation rates; conversely, changes in respiratory rate can also have very dramatic effects in blood pH; in slow, shallow breathing  $\text{CO}_2$  accumulates and causes decreased pH; in deep, rapid breathing,  $\text{CO}_2$  drops and pH increase; therefore, the respiratory system provides a quick way to adjust blood pH.

#### IV. Regulation of respiration.

- involuntary control brought about by activity of neurons located in a number of centers in the medulla and pons, collectively called the respiratory centers; include the dorsal regulatory group, ventral regulatory group, apneustic center, pneumotaxic center.

A. Respiratory centers: respiratory cycle controlled by spontaneous, rhythmic discharge of neurons comprising the respiratory centers.

1. Medullary centers: these centers set the pace of respiration.

a. Dorsal regulatory group (DRG).

- contains neurons that control lower motor neurons innervating diaphragm and external intercostals; involved in every respiratory cycle.

b. Ventral regulatory group (VRG).

- contains a mix of neurons involved in forced expiration and maximal, forced inhalation.

Quiet breathing:

- activity of DRG increases for two seconds, stimulating inspiration muscles, inspiration occurs; after two seconds DRG stops firing, the inspiratory muscles relax and passive expiration occurs.

Forced breathing:

- activity of the DRG increases, somehow (??) the level of activity of inspiratory neurons in VRG increases; this results in stimulation of neurons that activate accessory muscles of inspiration; DRG stops firing, inspiratory neurons of VRG also is no longer active; expiratory neurons of VRG begin to fire; therefore, inspiratory muscles relax and muscles of forced expiration contract.

2. Pontine centers: adjust output of rhythmic medullary centers.

a. Apneustic center (AC): supplies continuous stimulation to DRG; during quiet breathing it helps increase intensity of inspiration every two seconds; after two seconds it is inhibited by pneumotaxic center.

b. Pneumotaxic center (PC): inhibits AC and helps to promote passive or active exhalation.

B. Factors influencing respiratory center activity.

1. Chemical controls of respiration

- aim is to hold arterial/alveolar  $P_{CO_2}$  constant, combat excess  $H^+$ , and raise the  $P_{O_2}$  when it begins to fall to potentially dangerous levels.

-  $P_{CO_2}$  is the most important variable governing ventilation; two centers involved in monitoring  $P_{CO_2}$  of arterial blood: central chemoreceptors in the dorsal walls of the fourth ventricle (medulla) that monitor  $H^+$  concentration of CSF; and peripheral chemoreceptors, cells in the walls of the aortic and carotid bodies, stimulated by rise in  $P_{CO_2}$ ,  $[H^+]$  and drop of  $P_{O_2}$  or arterial blood

a. Central chemoreceptors.

- are located in the medullary area, in direct contact with CSF; monitor hydrogen ions concentration CSF.

- CO<sub>2</sub> passes through BBB into ventricle:  $\text{CO}_2 + \text{H}_2\text{O} \xrightarrow{\text{CA}} \text{H}_2\text{CO}_3 \xrightarrow{\quad} \text{HCO}_3^- + \text{H}^+$

- increased H<sup>+</sup> concentration stimulates chemoreceptors that act on respiratory centers to increase rate and depth of respiration; when alveolar ventilation increases, carbon dioxide is flushed out.

b. Peripheral chemoreceptors.

- response of peripheral chemoreceptors to hypoxia

- denervation of peripheral chemoreceptors:

- response to P<sub>O2</sub> drop (while holding arterial P<sub>CO2</sub> at normal levels) is eliminated; response to increased arterial [H<sup>+</sup>] abolished (while holding arterial P<sub>CO2</sub> normal); response to increase in arterial P<sub>CO2</sub> reduced by 30%.

- thus mediate about 30% of response to increased P<sub>CO2</sub>; also monitor P<sub>O2</sub>; under normal conditions P<sub>O2</sub> effects on V<sub>A</sub> are limited to enhancing sensitivity of central receptors to increased P<sub>CO2</sub>.

- P<sub>O2</sub> must drop substantially (below 60 mm Hg) for stimulation of peripheral chemoreceptors -- up to a P<sub>O2</sub> of 60 mm Hg, Hb is still substantially saturated with O<sub>2</sub> and adequate amounts of O<sub>2</sub> can be delivered to tissues (such as brain); furthermore, drops in P<sub>O2</sub> from 100 - 60 are usually associated with increased P<sub>CO2</sub> levels; thus even though the drop in P<sub>O2</sub> in this range does not stimulate increased firing of peripheral chemoreceptors, ventilation is usually increased due to response of central and peripheral chemoreceptors to increasing P<sub>CO2</sub> levels

- as the P<sub>O2</sub> falls below 60 mm Hg, however, Hb saturation levels drop substantially to the point that delivery of adequate amounts of O<sub>2</sub> to the tissues is jeopardized -- thus the ability of the central chemoreceptors to drive ventilation is questionable as they may not be fully functional (due to lack of O<sub>2</sub>); thus the response of the peripheral chemoreceptors to drop in P<sub>O2</sub> in this range becomes the critical driving force for required ventilation increase.

2. Baroreceptor reflexes.

- increases in BP will cause a decrease in respiratory rate; decreases in BP cause an increase in respiratory rate; mediated by direct connections between vasomotor and respiratory center (effect minimal compared to chemoreceptor effects).

3. Herring-Breuer reflexes: from afferent in walls of lungs, stretch receptors.

a. Inflation reflex: increased stretch due to overinflation of lungs causes activation of HB1 stretch receptors; afferents inhibit DRG neurons and stimulate expiratory neurons of VRG.

b. Deflation reflex: severe lung deflation causes activation of HB2 receptors in the lung walls (pleura) that send impulses to RC; this inhibits expiratory neurons of VRG, and stimulates DRG neurons.